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PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

31281 U.S. PTO
10/717294



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	12710.0024
First Inventor	Kenneth B. Tingey
Title	A System Architecture and Method For Entering and Accessing Entity Data in Events Accounting
Express Mail Label No.	EV 333 424 938 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 27]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 27]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name	Brent T. Winder				
Address	Jones Waldo Holbrook & McDonough 170 South Main Street, Suite 1500				
City	Salt Lake City	State	Utah	Zip Code	84101-1644
Country	USA	Telephone	801 521-3200	Fax	801 328-0537

Name (Print/Type)	Brent T. Winder	Registration No. (Attorney/Agent)	46,250
Signature			Date 11/19/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kenneth B. Tingey
Examiner Name	
Group Art Unit	
Attorney Docket No.	12710.0024.P

TOTAL AMOUNT OF PAYMENT (\$) 790

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-1723 Deposit Account Name: Jones, Waldo, Holbrook & McDonough <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. 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unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="5">* Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$) 40</td> </tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - 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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Brent T. Winder	Registration No. (Attorney/Agent)	46,250
Signature	<i>Brent T. Winder</i>	Telephone	801 521-3200
		Date	11/19/03

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**JONES
WALDO**
HOLBROOK &
MCDONOUGH PC

PATENT APPLICATION
Docket No. 12710.0024.P
EXPRESS MAIL LABEL: EV 333 424 938 US

ATTORNEYS & COUNSELORS
EST. 1875

TEL: 801-521-3200
FAX: 801-328-0537

170 SOUTH MAIN ST, SUITE 1500
SALT LAKE CITY, UTAH 84101

WWW.JONESWALDO.COM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

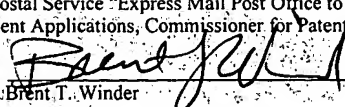
Sir/Madam:

Transmitted herewith for filing is the patent application of Kenneth B. Tingey for A SYSTEM ARCHITECTURE AND METHOD FOR ENTERING AND ACCESSING ENTITY DATA IN EVENTS ACCOUNTING comprising 41 pages of specification and claims, and a Combined Declaration, Power of Attorney and Petition with signatures.

- X Priority of Serial No. 60/427,889 filed November 20, 2002, in the United States Patent & Trademark Office and Serial No. 60/428,015 filed November 21, 2002, in the United States Patent & Trademark Office is hereby claimed.

Enclosed also are:

- X 27 sheet(s) of drawings (informal)
- X A Declaration, Power of Attorney and Petition
- X An Assignment
- X Fee Transmittal Sheet for FY 2001 and copy
- X Postcard
- X A Certificate of Mailing by "Express Mail" certifying a filing date of November 19, 2003, by use of Express Mail Label No. EV 333 424 938 US.

EXPRESS MAIL LABEL NO.: EV 333 424 938 US	CERTIFICATE OF DEPOSIT	DATE OF DEPOSIT November 19, 2003
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is address to: Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.		
		 Brent T. Winder

X Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed referenced attached (if indicated as being attached by the Information Disclosure Statement).

The filing fee has been calculated as shown below.

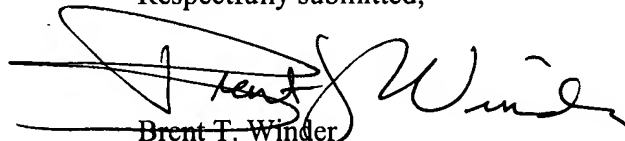
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$750
TOT. CLAIMS	- 20 =		X 9 =	\$	X 18 =	\$
IND. CLAIMS	- 3 =		X 42 =	\$	X 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED						
ASSIGNMENT FILING FEE			\$40	\$40	\$40	
			TOTAL		TOTAL	\$790

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1723 and any additional filing fees required under 37 C.F.R. § 1.16 and any patent application processing fees under 37 C.F.R. § 1.17.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 19th day of November, 2003.

Respectfully submitted,



Brent T. Winder
Attorney for Applicant
Registration No. 46,250

JONES, WALDO, HOLBROOK & MCDONOUGH, PC
170 South Main Street, Suite 1500
Salt Lake City, Utah 84101-1644
Telephone: (801) 521-3200

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Enclosures

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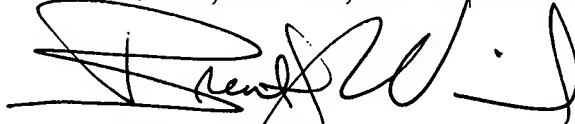
Date of Deposit: * November 19, 2003

I hereby certify that the enclosed new patent application entitled “A SYSTEM ARCHITECTURE AND METHOD FOR ENTERING AND ACCESSING ENTITY DATA IN EVENTS ACCOUNTING”, along with the accompanying documents – Non-Provisional Application for Patent Cover Sheet; Fee Transmittal for FY 2001 and copy; Declaration, Power of Attorney and Petition; 27 sheets of informal drawings; Provisional Application Cover Sheet; Information Disclosure Statement and related prior art; a return postcard, and a transmittal letter to the Patent Office, in the name of Kenneth B. Tingey – is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 C.F.R. Section 1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner of Patents, Box Patent Applications, Washington, D.C. 20231.

Respectfully submitted this 19th day of November, 2003.

Respectfully submitted,

JONES, WALDO, HOLBROOK & McDONOUGH

A handwritten signature in black ink, appearing to read 'Brent T. Winder', is written over a horizontal line.

Brent T. Winder
Registration No. 46,250
Attorney for Applicant
170 South Main Street, Suite 1500
Salt Lake City, UT 84101-1644
Telephone: (801) 521-3200

Attorney Docket No. 12710.0024.P